AAYAAT- NIRYAAT FORM

HANDBOOK OF PROCEDURES VOLUME 1, 2004-09
as amended upto 8th April 2005
GUIDELINES FOR APPLICANTS

1. Two copies of the application must be submitted unless otherwise mentioned.
2. Each individual page of the application has to be signed by the applicant.
3. a. Part 1 & Part 4 has to be filled in by all applicants. In case of applications submitted electronically, no hard copies of Part 1 may be submitted. However in cases where applications are submitted otherwise, hard copy of Part 1 has to be submitted.
   b. Only relevant portions of Part 2 & Part 3 need to be filled in.
4. Application must be accompanied by documents as per details given below:

I. For Importer Exporter Code Number (IEC)

   1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.
   2. Certificate from the Banker of the applicant firm in the format given in Appendix 18A.
   4. Self certified copy of RBI approval in cases where non resident interest/holding in the firm/company exists with repatriation benefits.

II. For Import Licence for Restricted Items

   1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.
   2. Self certified copy of Proforma Invoice from foreign supplier showing CIF value of the goods.
   3. Self certified copy of Registration Certificate issued by concerned authority.
   4. Self certified copy of the recommendation letter by the concerned authority.
   5. In case of import of gift, Donor’s letter in Original.
   6. In case of import of Ammunition, a certificate from the Chartered Accountant/Cost and Works Accountant/Company Secretary showing sales turnover of ammunition (indigenous and imported) during the preceding three licensing years.

II A. For Import Certificate under Indo – US Memorandum

   1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.
   2. Self certified copy of letter from US supplier in support of request for Import Certificate.
III. **For Export Licence for Restricted Items**

1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.
2. Self certified copy of Export Order.

III A. **For Export Licence for SCOMET Items**

1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.
2. **For Export of Special Chemicals in Categories 1B and 1C of Schedule 2 Appendix 3 of ITC (HS) Classification of Export & Import items:**
   a. Self certified copy of Export Order.
   b. End User certificate indicating the end product for which the item of export will be used by end user in the format given in Appendix 36.
3. **For Export of all other category items of Schedule 2 Appendix 3 of ITC (HS) Classification of Export & Import items except those given in serial no 2 above :**
   a. Self certified copy of Export Order.
   b. End User certificate indicating the end product and/or end purpose for which the item of export will be used by end user in the format given in Appendix 36.
   c. Technical Specifications of the items to be exported (not exceeding one page for each item).
   d. Self certified copy of Bill of Lading for items exported earlier under a Licence as per serial no. 3 of Part B of sub section III A.

IV. **For Star Export House Certification**

1. Self certified copy of valid RCMC.
2. Statement of exports made in the preceding three licensing years and/or current licensing year duly certified by a Chartered Accountant/Cost and Works Accountant in the format given in Appendix 26.
3. Self certified copy of the Balance Sheet filed with Income Tax Authorities for the relevant year(s).

V. **For Advance Licence applications**
1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.

2. **Additional documents required in case of issue of Advance Licence for Annual Requirements:**
   b. Self certified copy of the manufacturing licence of the applicant firm or his supporting manufacturer.

3. **Additional documents required in case of issue of DFRC:**
   a. **In case of physical exports**
      i. EP copy of the Shipping Bill or Bill of Export (only in case of exports through notified land Customs under paragraph 4.19)
      ii. Bank Certificate of Exports and Realisation as given in Appendix 22A or Foreign Inward Remittance Certificate (FIRC) in the case of direct negotiation of documents or Appendix 22D in the case of offsetting of export proceeds with approval of RBI. In case of FIRC, a declaration from the exporter that the remittance is in respect of Shipping Bill(s) No ____________ dtd ____________ shall also be furnished.
      iii. Statement of exports/supplies giving separately each Shipping Bill number/Bill of export number and date, FOB/ FOR value in Indian rupees as per Shipping Bill/Bill of export and description of the resultant product.
   b. **In case of deemed exports**
      i. Copy of the invoice duly signed by the unit receiving the material and their jurisdictional excise authorities certifying the item of supply, its quantity, value and date of such supply. However in case of supply of items, which are non-excisable product(s), a project authority certificate (PAC) certifying quantity, value and date of such supply would be acceptable in lieu of excise certification. Not withstanding the above, in respect of supplies to EOU, a copy of ARE-3 duly signed by the jurisdictional excise authorities certifying the item of supply, its quantity, value and date of such supply shall be furnished.
      ii. Payment certificates from the project authority as per Appendix-22C or payment certificate or bank certificate of payment for domestic supplies as per Appendix 22B which ever is applicable.
      iii. Statement of supplies giving separately each supply invoice number and date, FOR value in Indian rupees as per invoice and description of the resultant product.
4. **In cases where import of fuel has been sought for the grant of Advance Licence/Advance Licence for Annual Requirements/ DFRC** (excluding Advance licence applications for ad-hoc norms and those under Para 4.7 of Handbook)

   a. Self certified copy of the permission issued to the manufacturer exporter by the competent authority (concerned State Electricity Board or Power Corporation or Regulatory Commission of the State) under Section 44 of the Electricity (Supply) Act, 1948 for the installation of captive power plant based on the specified fuel unless the permission is specifically waived by the State Electricity Board; and

   b. Self certified copy of the letter intimating the date of commissioning of the captive power plant from the concerned authority which issued the permission letter is to be submitted.

   **Note:** The import of only such fuel(s) shall be allowed which have/ has been specified in the said permission.

5. **Additional documents required in case of supplies under deemed export/intermediate supplies under Advance Licence Scheme:**

   a. Invalidation letter in case of supplies to
      i. an EPCG licence holder;
      ii. an Advance Licence holder;

   b. Project Authority certificate in case of supplies other than (a) (i) & (ii) above and to EOU/ EHTP/ STP/BTP units;

6. **Additional documents required in case of issue of Advance Licence under Para 4.7 of Handbook**

   a. **Information as per Appendix-11A.**
   b. 1. Technical Details of the export product as per the details given in Appendix 33
      2. Chartered Engineer certificate certifying the import requirements of raw materials in the format given in Appendix 32B
      3. Production and Consumption data of the manufacturer/supporting manufacturer of the preceding three licensing years, duly certified by the Chartered accountant/ Cost & Works Accountant/ Jurisdictional Excise Authority.

V A. **For GEM REP applications**

   1. Bank Receipt (in duplicate)/Demand Draft evidencing payment of application fee in terms of Appendix 21B.
2. Bank certificate of export and realisation in the format given in Appendix 22A evidencing realisation of exports proceeds/sales proceeds.

3. Export Promotion (EP) copy of Shipping Bill(s) in Original. (Photocopy of the EP copy of Shipping Bill duly endorsed may be returned for utilization/re-import purposes when the exports are made on consignment basis).

4. Customs attested invoice.

5. In case of application for Replenishment licence under paragraph 4A.28 of this Handbook, an applicant will be required to submit documents as given at S.No.1 above and Statement of Exports made during the preceding licensing year duly certified by Chartered Accountant/Cost & Works Accountant in the format given in Appendix 26.

V B. For Diamond Imprest applications

1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.

2. Self certified copy of valid RCMC.

3. Self certified copy of Export Order.

4. Statement of exports of cut and polished diamonds made during the preceding three licensing years duly certified by Chartered Accountant/Cost & Works Accountant in the format given in Appendix 26. The value of exports made towards fulfillment of export obligation under Diamond Imprest Licence shall be shown separately.

V C. For DEPB applications

1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.

2. Export Promotion (EP) copy of Shipping Bill(s). In case of exports through notified land Customs under paragraph 4.40, Bill of Export may be accepted in lieu of Shipping Bill.

3. Bank Certificate of Exports and Realisation as given in Appendix 22A or Foreign Inward Remittance Certificate (FIRC) in the case of direct negotiation of documents or Appendix 22 D in the case of offsetting of export proceeds with the approval of RBI. In case of FIRC, a declaration from the exporter that the remittance is in respect of Shipping Bill(s) No _____________ dtd ____________ shall also be furnished

VI. For EPCG Licence applications

1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.
2. Self certified copy of Drug Manufacturing License in case of export of Pharmaceutical product or self certified copy of IEM/SSI Registration Number in case of other products or a self certified copy of Service Tax Registration in case of Service Providers.

3. Certificate from a Chartered Engineer in the format given in Appendix 32A certifying:
   a. the end use/nexus of machinery sought for import under EPCG Scheme in the pre production/production/post production activity of the exported goods/services (explaining the end use of machinery in detail); and/or
   b. the essentiality of spare parts sought for import and its required quantity for existing machinery manufacturing the goods to be exported/ machinery sought for import; and/or
   c. complete usage of equipments/goods sought for import under the EPCG Scheme for supply of service to overseas customers/ service consumers of any other country in India to earn free foreign exchange/supply of service in India relating to export paid in free foreign exchange.

4. Statement of exports made/services rendered by the applicant firm in respect of the same/similar export product/services rendered during the preceding three licensing years duly certified by a Chartered Accountant/Cost and Works Accountant in the format given in Appendix 26.

5. In case of import of spares for existing plant and machinery, a list of plant/machinery already installed in the factory/premises of the applicant firm/supporting manufacturer for which the spares are required, duly certified by a Chartered Engineer or jurisdictional Central Excise authorities.

6. In case of EPCG applications made by EOU/SEZ units, a self certified copy of the `No Objection Certificate’ from the Development Commissioner concerned showing the details of the capital goods imported/indigenously procured by the applicant firm, its value at the time of import/sourcing and the depreciated value for the purpose of assessment of duty under the Scheme is to be submitted.

**Note:** In case of Service Providers, who are not registered with Service Tax authorities (and a declaration in this regard has been submitted at Serial no. 13 (f) of Part 4 of the application), a registration certificate from FIEO is to be submitted. In such cases, address of the jurisdictional Central Excise Authority under whom the proposed CG is to be installed may not be insisted upon.

7. Copy of previous EPCG Licence (in case application is made under EPCG Technological Upgradation Scheme).

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**VII. For Served from India Scheme applications**
1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.
2. Self certified copy of the Balance Sheet filed with Income Tax Authorities for the relevant year(s).
3. Self certified copy of Service Tax Registration (in cases where Service provider is registered with Service tax authorities).

VIII. For Vishesh Krishi Upaj Yojana applications

1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.
2. Export promotion (EP) copy of Shipping Bill(s). In case of exports through notified land Customs under paragraph 4.40, Bill of Export may be accepted in lieu of Shipping Bill.
3. Bank Certificate of Exports and Realisation as given in Appendix 22A or Foreign Inward Remittance Certificate (FIRC) in the case of direct negotiation of documents or Appendix 22D in the case of offsetting of export proceeds with the approval of RBI. In case of FIRC, a declaration from the exporter that the remittance is in respect of Shipping Bill(s) No _____________ dtd __________ shall also be furnished.

IX. For Target Plus Scheme applications

1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.
2. Self certified copy of valid RCMC.
3. Self certified copy of the Balance Sheet filed with Income Tax Authorities for the relevant year(s)

X. For Duty Drawback on All Industry Rates/Fixation of Drawback Rates/Refund of Terminal Excise Duty

1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B.
2. In case of Refund of Terminal Excise Duty/Duty Drawback
a. Supply invoices certified by Project Authority or self certified or excise attested invoices. In case of 100% EOUs/STP/EHTP units, a complete AR-3A/ supply invoice certified by the bond officer is to be furnished.

b. Payment certificate issued by the Project Authority as per Appendix 22C. For supply to EOU units the certificate to be given regarding receipt of payment through normal banking channel in the form given in Appendix- 22B. However, in respect of supplies under paragraph 8.2 (d), (e), (f), (g) and (j), wherever eligible for refund of TED, if the applicant is not able to furnish payment certificate, he may alternatively furnish the copies of the excise invoices showing the receipt of the material by the Project Authority duly countersigned by the authorised person for this purpose as given in note (3) of Appendix–27, in lieu of payment certificate. In such cases, the applicant shall furnish the copies of the excise invoices showing the receipt of the material by the Project authority duly countersigned by the authorised person for this purpose as given in note (3) of Appendix-27 in lieu of payment certificate.

c. Photocopy of complete A.R.O./Back to Back LC

d. Photocopy of the purchase order/L.O.I/contract (self certified).

e. Original input stage Invoices with corresponding ER-1/ER-3 duly certified by Excise authorities or self certified invoice showing the Terminal Excise Duty paid.

f. In respect of supplies under paragraph 8.2(d), (e) (f) (g) and (j), the applicant shall furnish a copy of the project authority certificate as given in Appendix-27.

3. Additional documents to be submitted wherever brand rate of duty drawback is being claimed:

   a. DBK I duly certified by a Chartered Engineer in the format given in Appendix

   b. DBK II, IIA, III & III-A, duly certified by a Chartered Accountant along with self certified non-availment of CENVAT credit

   c. Photocopies of Bill of Entries along with relevant Import Invoices.

   d. Original input invoices with corresponding ER-1/ER-3 duly certified Central excise authorities or self-certified invoices showing payments of excise duty in support of the details shown in DBK-III and DBK-III-A in the format given in Appendix

Note: In case of public sector undertaking, the DBK statements may be signed/certified by the Head of Production and Head of Finance department.

XI. For Enhancement in CIF/FOB Value or Revalidation or EO extension of Licence

1. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 21B or otherwise as per Policy/Procedures.

2. Licence in Original
XII. For Fixation/Modification of Standard Input Output Norms (SION)

1. Technical Details of the export product as per the details given in Appendix 33
2. Chartered Engineer certificate certifying the import requirements of raw materials in the format given in Appendix 32B
3. Production and Consumption data of the manufacturer/supporting manufacturer of the preceding three licensing years as given in serial no 3 of sub section XII, duly certified by the Chartered accountant/ Cost & Works Accountant/ Jurisdictional Excise Authority.

XIII. For Fixation of DEPB Rates/Fuel rates

1. In support of data furnished at serial no. 3, 4 and 5 of sub section XIII, minimum 5 Shipping Bills /Bills of Entry (self certified copies) should be submitted for each item of export/ import during the past one year. This may however be restricted to two Shipping Bills/Bills of Entry/per month for exports/ imports made during the past one year. Alternatively in lieu of the Bills of Entry, the firm may submit copies of Customs data for imports containing the name of the raw materials, quantity, CIF value, name of the importers, port of import etc.
2. International price of items of import as obtained from international journals/ magazines are to be submitted only in support of actual imports as given in serial no 3 and 5 of sub section XIII.
3. If the applicant has insufficient data on the items of import/export, he may also submit data and documents of other exporters of the same export product.
4. 5 copies of the application should be submitted. However, only two copies of the supporting documents viz. relevant Shipping Bills/ Bills of Entry is to be submitted in support of all the data as furnished by the applicant.
5. A copy of the application and supporting documents should simultaneously be submitted to the concerned Export Promotion Council/Commodity Board.
6. Data sheet as per Appendix-11B
7. In case of application for fixation of fuel rate, self certified copy of permission letter issued by the competent authority under Section 44 of the Electricity Supply (Act), 1948 for installation of Captive Power Plant stipulating among other things, the specific fuel to be used by such plant.

Note: The DEPB rate shall be fixed only for those products for which Standard Input Output Norms have been notified. In case of an export product for which Standard Input Output Norms is not fixed, the applicant has to first apply for fixation of SION.
XIV. **For Redemption of Advance Licence**

a. **For physical exports:**

1. Bank Certificate of Exports and Realisation in the form given at Appendix 22A or Foreign Inward Remittance Certificate (FIRC) in the case of direct negotiation of documents or Appendix 22D in case of offsetting of export proceeds. However, realisation of export proceeds shall not be insisted if the shipments are made against confirmed irrevocable letter of credit or bill of exchange is unconditionally Avalised/Co-Accepted/Guaranteed by a bank and the same is confirmed by the exporters bank and certified by the bank in column 14/15 of Appendix 22A.

2. EP copy of the shipping bill(s) containing details of shipment effected or bill of export in case of export to SEZ

3. A statement of exports giving details of shipping bill wise exports indicating the shipping bill number, date, FOB value as per shipping bill and description of export product

4. A statement of imports indicating bill of entry wise item of imports, quantity of imports and its CIF value.

b. **For deemed exports**

1. A copy of the invoice or a statement of invoices duly signed by the unit receiving the material and their jurisdictional excise authorities certifying the item of supply, its quantity, value and date of such supply. However in case of supply of items which are non excisable or supply of excisable items to a unit producing non excisable product(s), a project authority certificate (PAC) certifying quantity, value and date of supply would be acceptable in lieu of excise certification. However, in respect of supplies to EOU/EHTP/STP/BTP, a copy of ARE-3 duly signed by the jurisdictional excise authorities certifying the item of supply, its quantity, value and date of such supply can be furnished in lieu of the excise attested invoice(s) or statement of invoices as given above.

2. Payment certificate from the project authority in the form given in Appendix-22C In the case of Advance Licence for Intermediate Supplies/deemed exports, supplies to the EOUs/EHTPs/STPs/BTPs, documentary evidence from the bank substantiating the realisation of proceeds from the Licence holder or EOUs/EHTPs/STPs/BTPs, as the case may be, through the normal banking channel, shall be furnished in the form given at Appendix 22B. However realisation of proceeds shall not be insisted upon if the shipments are made against confirmed irrevocable inland letter of credit or inland
bill of exchange is unconditionally Avalised/ Co- Accepted/ Guaranteed by a bank and the same is confirmed by the exporters bank and certified by the bank in column 5/6/7 of Appendix 22B

3. A statement of supplies giving details of supply invoices and indicating the invoice number, date, FOR value as per invoices and description of product

4. A statement of imports indicating bill of entry wise item of imports, quantity of imports and its CIF value

**XV. Statement of Export/Redemption of EPCG Licence**

1. **a.** For Physical Exports:
   1. Consolidated statement of exports made or individual statements (bank/authorized dealer wise) in sub section XV duly certified by a Chartered Accountant as per format given in Appendix 26 and bank evidencing exports and realisation in freely convertible. However, in case of exports made under an irrevocable letter of credit or a bill of exchange is unconditionally Avalised/ Co- Accepted/Guaranteed by a bank and the same is confirmed by the exporters bank, realization of export proceeds would not be insisted upon provided the final receipts are in free foreign exchange and a confirmation is given by the Bank in this regard.

2. **b.** For Deemed Exports:
   1. Copy of ARO/Back to Back Inland letter of Credit or Advance Licence for Intermediate Supplies or Supply invoices or ARE 3 duly certified by the Bond Office of EOU concerned showing that supplies have been received.
   2. Evidence of having received the payment through normal banking channel in the form given in Appendix 22B or a self certified copy of payment certificate issued by the Project Authority concerned in the form given in Appendix -22C.

3. **c.** For Services rendered:
   1. Consolidated statement or individual statements (bank/authorised dealer wise) of services rendered as given in sub section XV duly certified by a Chartered Accountant as per format given in Appendix 26 and bank/authorised dealer evidencing foreign exchange earning received through normal banking channel.
   2. Copy of licence showing the date of clearance of the first consignment
   3. Customs purpose copy of EPCG Licence
   4. At the time of redemption, the licence holder shall also submit certificate from the Independent Chartered Engineer confirming the use of spares so imported under the Scheme in the installed capital goods on the basis of stock & consumption register maintained by licence holder.
XVI. **For EO Refixation under EPCG Licence**

1. EPCG Licence in original.

XVII. **For Clubbing of Licences**

1. Advance Licence(s) / EPCG Licence(s) in original.

XVIII. **Statement of Imports made under Served from India Scheme/ Target Plus Scheme**

1. Self certified copy of Licence.

XIX. **For Refund of Application Fee**

1. Copy of Bank Receipt/Demand Draft against which the refund is being claimed.
### PART 1

Note: Please state ‘Not Applicable’ wherever the information/data is not applicable to you.

#### 1. IEC Details

i. IEC Number  
ii. Date of Issue  
iii. Issuing Authority

#### 2. Applicant Firm Details

i. Name  
ii. Address  
(Registered Office in case of Companies and  
Head Office in case of Others)  
iii. Address of all Branches/Divisions/Units/Factories  
located in India & abroad (attach extra sheet if required)  
iv. Telephone  
v. Email address (for correspondence with DGFT)

#### 3. Details of Proprietor/Partners/Directors/Karta/Trustee of the applicant firm (attach extra sheet if required)

i. Name  
ii. Father's Name  
iii. Residential Address  
iv. Telephone

#### 4. Nature of Concern (please tick) (v)

i. Government Undertaking  
i.ii. Public Limited Company  
i.iii. Private Limited Company  
i.iv. Proprietorship  
v. Partnership  
v.i. Others

#### 5. Type of Exporter (please tick) (v)

i. Merchant Exporter  
i.ii. Manufacturer Exporter  
i.iii. Service Provider  
i.iv. Others (please specify)  
v. Merchant cum Manufacturer

#### 6. Industrial Registration Details

i. SSI/IEM/LOI or IL Registration Number  
i.ii. Date of Issue  
i.iii. Issuing Authority  
i.iv. Products for which registered
7. Service Tax Registration Details (in case of Service providers)
   i. Service Tax Registration Number
   ii. Issuing Authority
   iii. Services for which registered

8. RCMC Details
   i. RCMC Number
   ii. Date of Issue
   iii. Issuing Authority
   iv. Valid upto
   v. Products for which registered

9. Status House Details
   i. One/Two/Three/Four/Five Star
   ii. Certificate Number
   iii. Date of Issue
   iv. Issuing Authority
   v. Valid Upto

10. PAN Details
    i. PAN Number
    ii. Date of Issue
    iii. Issuing Authority

11. Excise Details
    i. Excise Registration Number
    ii. Issuing Authority

12. VAT Details
    i. VAT Registration Number
    ii. Issuing Authority

12. Excise Payment Details
    i. Excise Duty Paid in the last FY (Rs)
    ii. Excise Commissionerate

13. Turnover/Profit Details for the preceding three licensing years

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<th>Annual Export Turnover (Rs Lakhs)</th>
<th>Annual Profit (Rs Lakhs)</th>
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<td>Physical Exports (FOB)</td>
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## PART 2

### 1. IEC Number

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### 2. Applicant Details

i. Name

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ii. Address

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### 3. Application for (please tick the category for which the application is being made) : (v)

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<th>Category</th>
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<tbody>
<tr>
<td>I. Importer Exporter Code Number (IEC)</td>
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<tr>
<td>II. Import Licence for Restricted Items</td>
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<td>IIIA. Import Certificate under Indo - US Memorandum</td>
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<td>III. Export Licence for Restricted Items</td>
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<td>IIIA. Export Licence for SCOMET Items</td>
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<td>IV. Star Export House Certification</td>
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<td>V. Advance Licence</td>
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<td>VA. Gem Replenishment Licence</td>
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<td>VB. Diamond Imprest Licence</td>
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<td>VC. DEPB Licence</td>
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<td>VI. EPCG Licence</td>
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<td>VII. Served from India</td>
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<td>VIII. Vishesh Krishi Upaj Yojana (VKUY)</td>
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<td>IX. Target Plus</td>
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<td>X. Claiming Duty Drawback on All Industry Rates/Fixation of Drawback Rates/Refund of Terminal Excise Duty</td>
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### 4. Application Submission Details (in case of electronically submitted applications)

i. ECOM Reference Number

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ii. Date of Submission on Server

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iii. Submitted to which Licensing Authority

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iv. File Number

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v. Date of Issue

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### 5. Application Fee Details

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### Note:

1. Only one category of application may be made in the Form.
2. In case of application for issue of IEC number, information at Serial No 1 may be left blank.
## SUB SECTION I

(For Issue/Modification of Import Export Code Number)

1. Application for (please tick whichever is applicable) : ( v )
   - i. Issue of Importer Exporter Code Number (IEC)
   - ii. Modification of Importer Exporter Code Number (IEC)

2. Bank Account Details
   - i. Name of the Bank
   - ii. Address of the Bank
   - iii. Type of Bank Account
   - iv. Bank Account Number
   - v. Year of opening Bank Account

3. In case of NRI interest/holding with repatriation benefits in the firm/company, please furnish
   - i. RBI Approval Number
   - ii. RBI Approval Date

4. 
   a. In case of Proprietorship firms, please furnish
      - i. Date of Birth of individual
      - ii. Number of IECs held along with their details
   b. In case of Companies, please furnish
      - i. Date of incorporation
   c. In case of others
      - i. Date of formation

5. In case the application is made for modification in existing IEC, please furnish
   - i. Existing IEC Number
   - ii. Nature of Modification required
   - iii. Details of Modification required
### SUB SECTION II
(For Import Licence for Restricted Items)

1. **Total CIF value applied for**
   - i. In Rupees
   - ii. In Foreign Currency

2. **Details of item(s) applied for import**

<table>
<thead>
<tr>
<th>S No</th>
<th>Description</th>
<th>ITC(HS) Code</th>
<th>Quantity</th>
<th>CIF Value (Rs)</th>
<th>Country of Origin</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

3. **Details of imports made of the item(s) applied for in the preceding 3 licensing years**

<table>
<thead>
<tr>
<th>S No</th>
<th>Year</th>
<th>Import Licence Details</th>
<th>Quantity Imported</th>
<th>CIF Value of Imports (US $)</th>
<th>Export Destination</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>No.</td>
<td>Date</td>
<td>Qty</td>
<td>Value (US $)</td>
</tr>
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</tbody>
</table>

4. **Case Sponsoring Authority details, wherever applicable**
   - i. Ministry/Department recommending the case
   - ii. Recommendation Letter No
   - iii. Recommendation Letter Date

5. **In case of import of Ammunition, please furnish**
   - i. Fire Arm Dealers Licence No
   - ii. Fire Arm Dealers Licence Date
   - iii. Issuing Authority of Fire Arm Dealers Licence
   - iv. Sales turnover of indigenous & imported ammunition in the preceding 3 licensing years (Rs)

6. **In case of import of Aircraft/Helicopters, please furnish**
   - i. Year of manufacture
   - ii. Whether New/Second hand
   - iii. Seating Capacity
   - iv. Residual Life
   - v. No. of Flying Hours already completed
   - vi. No. of Aircraft already in possession of the applicant
   - vii. Whether applicant falls under Private or Passenger Category
7. **In case of import of Gifts, please furnish**

<p>| | |</p>
<table>
<thead>
<tr>
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</thead>
<tbody>
<tr>
<td>i.</td>
<td>Name of the Donor</td>
</tr>
<tr>
<td>ii.</td>
<td>Relationship with the Donor</td>
</tr>
<tr>
<td>iii.</td>
<td>Status of the Donor</td>
</tr>
</tbody>
</table>

8. **Address of factory/unit/stud farm where items so imported shall be used**

9. **End Products to be manufactured with licensed capacity, wherever applicable**

10. **Justification for Import**
SUB SECTION II A
(For Import Certificate under Indo US Memorandum)

1 US Exporter Details

i. Name
ii. Address

2. Name of the Sponsoring Directorate in ICIA:

3. Items of Import for which Import Certificate is required

<table>
<thead>
<tr>
<th>S No</th>
<th>Item details</th>
<th>ITC(HS) Code</th>
<th>Quantity</th>
<th>CIF Value (Rs)</th>
<th>Whether capital good or raw material</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>

4. Purpose of Import
a. If required for Manufacture please furnish
   i. IL/SIA/DGTD/SSI registration number
   ii. End Product as given in registration certificate
   iii. Actual items of manufacture
b. If required for Research & Development, please furnish
   i. Registration details with Department of Science & technology
   ii. Validity of Registration
   iii. Specific project for which items required
c. If required for Other Actual Users (Non Industrial), please furnish
   i. Registration Certificate details
   ii. Permission details of local/municipal body

5. i. Whether the items of import are under Restricted List of ITC(HS)
     Yes/No
    ii. File number of application submitted to Licensing authority for Import Licence

6. Reference Number of the Controlled commodity/munitions list of US Export Administration Regulations:

7. Address of the factory/premises where the items to be imported are proposed to be used
SUB SECTION III
(For Export Licence for Restricted Items)

1. Total FOB value applied for
   i. In Rupees
   ii. In Foreign Currency

2. Details of items applied for export

<table>
<thead>
<tr>
<th>S No</th>
<th>Description</th>
<th>ITC(HS) Code</th>
<th>Quantity</th>
<th>FOB Value (Rs)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

3. Details of exports made of the item applied for in the preceding 3 licensing years

<table>
<thead>
<tr>
<th>S No</th>
<th>Year</th>
<th>Export Licence Details</th>
<th>Quantity Exported</th>
<th>FOB Value of Exports (US $)</th>
<th>Export Destination</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>No. Date Qty Value (US $)</td>
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</tr>
</tbody>
</table>

4. Purpose of Export (please tick)  
   (v)
   i. Trade
   ii. Personal
   iii. Sample

5. Foreign Buyer Details

   i. Name
   ii. Address
   iii. Export Order no
   iv. Export Order date

6. Shipment Details

   i. Port of Loading
   ii. Port of Discharge
   iii. Country of Export

7. In case of export of samples/exhibits/gifts, please furnish

   i. Ceiling Limit
   ii. How much in excess of Ceiling Limit
iii. Justification for Excess

8. In case of export by Post, please furnish details of Postal authorities where the parcel will be placed

9. In case of export of Seeds, please furnish

i. Details of seed/planting material proposed for export
ii. Whether seed/planting material proposed to be exported is of notified variety: Yes/ No
iii. Whether seed/planting material proposed to be exported has been produced under custom production arrangement? If yes, enclose details/agreement entered into with buyer
iv. Whether variety of seed/planting material proposed to be exported is used in India: Yes/No
v. Places where the variety proposed to be exported is grown

10. Any other relevant information
SUB SECTION III A
(For Export Licence for SCOMET Items)

Part A

For Export of Special Chemicals in Categories 1B and 1C of Schedule 2 Appendix 3 of ITC (HS) Classification of Export & Import items

1. Total FOB value applied for
   i. In Rupees
   ii. In Foreign Currency

2. Details of items applied for export

<table>
<thead>
<tr>
<th>S No</th>
<th>Description</th>
<th>ITC(HS) Code</th>
<th>Quantity</th>
<th>Total FOB Value (US $)</th>
<th>FOB Value per unit (US $)</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>

3. Details of exports of Category 1B and 1C items in the preceding 3 licensing years

<table>
<thead>
<tr>
<th>S No</th>
<th>Year</th>
<th>Export Licence Details</th>
<th>Quantity Exported</th>
<th>FOB Value of Exports (US $)</th>
<th>Country to whom exported</th>
<th>Name of the End User</th>
</tr>
</thead>
<tbody>
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</tr>
</tbody>
</table>

4. Shipment Details
   i. Port of Loading/Shipment
   ii. Port of Discharge
   iii. Country of Export
   iv. Ultimate destination

5. In case of export of samples, please furnish
   i. Ceiling Limit
   ii. How much in excess of Ceiling Limit
   iii. Justification for Excess

6. Foreign Buyer Details
   i. Name
   ii. Address
   iii. Export Order no
   iv. Export Order date

7. End user Details
i. Name
ii. Address
iii. End product for which the item of export will be used by the end user
iv. Purpose for which the end product will be utilised

8. Purpose of Export (please tick)  (v)
   i. Trade
   ii. Personal
   iii. Sample

9. If applied for export on repeat basis in the same licensing year for same product and end
   use and to the same end user,
   please furnish
   i. Reference Number and date vide which earlier permission was granted
   ii. Export Licence number and date
   iii. Quantity allowed for export
   iv. Quantity exported on the date of this application

Part B
For Export of all other category items of Schedule 2 Appendix 3 of ITC (HS) Classification of
Export & Import items
except those covered by Part A above

1. Total FOB value applied for
   i. In Rupees
   ii. In Foreign Currency

2. Details of items applied for export

<table>
<thead>
<tr>
<th>S No</th>
<th>Description</th>
<th>Schedule 2 Appendix 3 of ITC(HS) Classification of Export &amp; Import Items</th>
<th>Quantity</th>
<th>Total FOB Value (US $)</th>
<th>FOB Value per unit (US $)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Category</td>
<td>Item Number</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

3. Details of exports licences under Schedule 2 Appendix 3 of ITC (HS) Classification of
   Export & Import items

<table>
<thead>
<tr>
<th>S No</th>
<th>Date of application</th>
<th>Export Licence Details (see note below)</th>
<th>Items exported</th>
<th>Qty exported</th>
<th>Date of Shipment</th>
<th>FOB Value of Exports (US $)</th>
<th>Country to whom exported</th>
<th>Name of the End User</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>No.</td>
<td>Date</td>
<td>Qty</td>
<td>Value</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
4. Shipment Details
   i. Port of Loading/Shipment (identify only one Port)
      a. Delhi
      b. Mumbai
      c. Kolkatta
      d. Chennai
   ii. Port of Discharge
   iii. Country of Export
   iv. Ultimate destination

5. Foreign Buyer Details
   i. Name
   ii. Address
   iii. Export Order no
   iv. Export Order date

6. Consignee Details
   i. Name
   ii. Corporate Address
   iii. Telephone
   iv. Fax
   v. Headquarters Address
   vi. Citizenship of Consignee (if individual)

7. End user Details
   i. Name
   ii. Address
   iii. Telephone
   iv. Fax
   v. Location (Country) of end user
   vi. End product for which the item of export will be used by the end user
   vii. Purpose for which the end product will be utilised
   viii. Is end user an entity of Government of destination country?
   ix. Manufacturing/Business/other activity of the end user

8. Details of Banks through which financial transactions relating to this export will be executed
   a. In destination Country
   i. Name of the Bank

Note: State ‘Denied’ if the licence was denied. A false statement will invite prosecution.
| 9. Foreign Collaborator Details *  
<table>
<thead>
<tr>
<th>(as registered with GOI/RBI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. Name</td>
</tr>
<tr>
<td>ii. Address</td>
</tr>
</tbody>
</table>

* If No foreign collaboration exists, please state ‘None’

<table>
<thead>
<tr>
<th>10. Details of Producer/Manufacturer of the item to be exported</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. Name</td>
</tr>
<tr>
<td>ii. Address</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>11. Period during which the item is proposed to be exported from India:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>12. If Revalidation sought, please furnish</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. Period of Extension sought</td>
</tr>
<tr>
<td>ii. Reasons thereof</td>
</tr>
</tbody>
</table>
**SUB SECTION IV**

*(For Star Export House Certificate)*

1. **Category of Star Export House applied for (please tick)**

<table>
<thead>
<tr>
<th>Category of Export House</th>
<th>(v)</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. One Star Export House</td>
<td></td>
</tr>
<tr>
<td>ii. Two Star Export House</td>
<td></td>
</tr>
<tr>
<td>iii. Three Star Export House</td>
<td></td>
</tr>
<tr>
<td>iv. Four Star Export House</td>
<td></td>
</tr>
<tr>
<td>v. Five Star Export House</td>
<td></td>
</tr>
</tbody>
</table>

2A. **Details of exports/deemed supplies made in the preceding 3 licensing years and the current year**

<table>
<thead>
<tr>
<th>Licensing Year</th>
<th>FOB Value of exports (Rs)</th>
<th>FOR Value of deemed supplies (Rs) +</th>
<th>Total Export Performance (Rs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2005-06</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2004-05</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>2003-04</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>2002-03</td>
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</tbody>
</table>

+ deemed supplies as per Para of Policy

**OR**

2B. **(Applicable in case of Service Providers only)**

I.

i. Category of Service Provider (Sl No. of Appendix 36)

ii. Description of Services rendered

II. **Details of gross foreign exchange earnings from direct and indirect sources, made in the preceding 3 licensing years and the current year**

<table>
<thead>
<tr>
<th>Licensing Year</th>
<th>Gross foreign exchange earnings (direct and indirect sources) in Rs</th>
</tr>
</thead>
<tbody>
<tr>
<td>2005-06</td>
<td></td>
</tr>
<tr>
<td>2004-05</td>
<td></td>
</tr>
<tr>
<td>2003-04</td>
<td></td>
</tr>
<tr>
<td>2002-03</td>
<td></td>
</tr>
</tbody>
</table>

3. **Whether benefit of double weightage for arriving at the export performance as given in Sr No. 2 above has been taken:**

   Yes/No

4. **Export House Certificate Details held earlier, if any**

   i. EH/TH/STH/SSTH Certificate No
   ii. EH/TH/STH/SSTH Certificate Date
   iii. Valid till
   iv. Issued from File No
### SUB SECTION V

*(For Advance Licence/ Advance Licence for Annual Requirements/DFRC)*

<table>
<thead>
<tr>
<th>1. Total CIF value of Imports applied for</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. In Rupees</td>
</tr>
<tr>
<td>ii. In currency of imports</td>
</tr>
<tr>
<td>iii. In US $</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2. Total FOB/FOR value of Exports to be made, including commission</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. In Rupees</td>
</tr>
<tr>
<td>ii. In currency of exports</td>
</tr>
<tr>
<td>iii. In US $</td>
</tr>
</tbody>
</table>

| 3. Value Addition (in %):                                       |

| 4. Port of Registration (for the purpose of imports):            |

<table>
<thead>
<tr>
<th>5. Application made for Export Product Group:</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. Application made under which Serial No. of SION:</td>
</tr>
<tr>
<td>or</td>
</tr>
<tr>
<td>ii. Application made under Para 4.7 of FTP: Yes/No</td>
</tr>
</tbody>
</table>

| If Yes, whether Adhoc Norms fixed:               Yes/No |

<table>
<thead>
<tr>
<th>6. Details of items to be exported/supplied under the Licence</th>
</tr>
</thead>
<tbody>
<tr>
<td>(In case of DFRC applications, details of export items which have been exported/deemed supplies made may be given)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>S No</th>
<th>Item Description</th>
<th>Item Technical Characteristics/Quality etc.</th>
<th>ITC(HS) Code</th>
<th>Quantity</th>
<th>Unit of Measurement</th>
<th>FOB/FOR Value (in Rs)</th>
<th>FOB/FOR value (in freely convertible currency)</th>
</tr>
</thead>
<tbody>
<tr>
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</table>

| 7. Details of items sought to be imported duty free under the Licence |

<table>
<thead>
<tr>
<th>S No</th>
<th>Item Description</th>
<th>Item Technical Characteristics/Quality etc.</th>
<th>ITC(HS) Code</th>
<th>Quantity in metric units</th>
<th>CIF Value (in Rs)</th>
<th>CIF value (in freely convertible currency)</th>
<th>Total exemption from Customs duty</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>
8. Details of other materials to be used in the export product and sought to be imported/procured from sources other than the licence on which drawback benefits is to be availed (not to be filled if Drawback benefits are not being claimed)

<table>
<thead>
<tr>
<th>S No</th>
<th>Imported Item</th>
<th>Indigenously Procured Item</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Name, Technical Characteristics/Quality etc</td>
<td>CIF Value</td>
</tr>
<tr>
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</tr>
</tbody>
</table>

9. Details of Outstanding Export Obligation against Advance Licence(s) including Advance Licence for Annual Requirements issued already

<table>
<thead>
<tr>
<th>S No</th>
<th>Licence No</th>
<th>Licence Date</th>
<th>CIF Value (Rs)</th>
<th>FOB Value (Rs)</th>
<th>%age of EO fulfilled</th>
<th>Expiry Date of EO period</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>

10. Details of exports/deemed supplies made in the preceding 3 licensing years

<table>
<thead>
<tr>
<th>Licensing Year</th>
<th>FOB Value of exports (in Rs Crore)</th>
<th>FOR Value of deemed supplies (in Rs Crore)</th>
<th>Total Export Performance (in Rs Crore)</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

11. Applicable in case of applications made for Advance Licence for Annual requirements (AAL)

   i. Entitlement of AAL (Rs)
   ii. CIF Value of AAL already obtained (Rs) during the licensing year

12. In case of exports made under Deemed Exports category (except for supplies to Advance Licence/EOU/EHTP/STP/EPCG), please furnish

   i. Name of the Project Authority
   ii. Address of the Project Authority
   iii. Supply Order No
   iv. Delivery period of Supply
   v. Credit under which project is financed
   vi. Method by which order is procured (ICB etc.)
13. In case of request for issuance of ARO, please furnish

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>i.</td>
<td>Advance Licence No</td>
</tr>
<tr>
<td>ii.</td>
<td>Date of Issue of Advance Licence</td>
</tr>
<tr>
<td>iii.</td>
<td>Name of the Indigenous producer from where items are to be procured</td>
</tr>
<tr>
<td>iv.</td>
<td>Address of the Indigenous producer from where items are to be procured</td>
</tr>
<tr>
<td>v.</td>
<td>Licensing Authority of the Indigenous producer</td>
</tr>
<tr>
<td>vi.</td>
<td>Items to be supplied by the Indigenous producer</td>
</tr>
<tr>
<td></td>
<td>a. Description of individual items</td>
</tr>
<tr>
<td></td>
<td>b. Quantity of individual items to be procured</td>
</tr>
<tr>
<td></td>
<td>c. Value of individual items to be procured</td>
</tr>
</tbody>
</table>

14. Address of the factory/premises where the items to be imported are proposed to be used

15. Address of the jurisdictional Central Excise Authority under whose jurisdiction the factory/premises falls

16. In case the items to be imported are to be used by the supporting manufacturer, please furnish

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<tbody>
<tr>
<td>i.</td>
<td>Name of the supporting manufacturer</td>
</tr>
<tr>
<td>ii.</td>
<td>Address of the supporting manufacturer</td>
</tr>
<tr>
<td>iii.</td>
<td>SSI/LOI/IL regn no. and date of Supporting Manufacturer</td>
</tr>
<tr>
<td>iv.</td>
<td>Products endorsed on SSI/IL/IEM</td>
</tr>
</tbody>
</table>
**SUB SECTION V A**  
*(For Gem Replenishment Licence)*

1. Export Proceeds Realisation Month:

2. FOB Value of Exports
   
   i. In free foreign exchange
   
   ii. In Rupees

3. Value of Licence Claimed
   
   i. In free foreign exchange
   
   ii. In Rupees

4. Details of exports made

<table>
<thead>
<tr>
<th>Name of the Bank</th>
<th>Shipping Bill/ Postal Receipt</th>
<th>FOB Value (in FFE/Rs)</th>
<th>FOB Value realised (in FFE/Rs)</th>
<th>REP Licence Claimed (Rs)</th>
<th>Country of Exports</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

Note: In case application for REP Licence is made under Para 4 A.28 of this handbook, serial no 4 may be left blank
### SUB SECTION V B

*(For Diamond Imprest Licence)*

1. **Total FOB value applied for**
   - i. In Rupees
   - ii. In Foreign Currency

2. **Total CIF value applied for**
   - i. In Rupees
   - ii. In Foreign Currency

3. **Foreign Buyer Details (in case of application against specific Order)**
   - i. Name
   - ii. Address
   - iii. Export Order no
   - iv. Export Order date

4. **Amount of Commission or Discount, if any:**

5. **Export Performance Details in respect of cut and polished diamonds during the preceding three licensing years:**

<table>
<thead>
<tr>
<th>Licensing Year</th>
<th>Against DTC Licence (in Rs)</th>
<th>Against Others (in Rs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2004-05</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2003-04</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2002-03</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

6. **Details of Outstanding Export Obligation under Diamond/DTC Imprest Licence, if any**

<table>
<thead>
<tr>
<th>S No</th>
<th>Licence No</th>
<th>Licence Date</th>
<th>CIF Value (Rs)</th>
<th>FOB Value (Rs)</th>
<th>%age of EO fulfilled</th>
<th>Expiry Date of EO period</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
</tr>
</tbody>
</table>
**SUB SECTION V C**

(For DEPB Scheme)

1. **FOB Value of exports (inclusive of commission)**
   - i. In Rupees
   - ii. In freely convertible currency

2. **DEPB Claimed**
   - i. In Rupees (in words)
   - ii. In Rupees (in figures)

3. **Port of Registration (for the purpose of imports):**

4. **Whether application made for transferable duty credit:** Yes/No

5. **Details of export made**

<table>
<thead>
<tr>
<th>S No</th>
<th>SB No</th>
<th>SB date</th>
<th>Date of Let Export</th>
<th>BRC No</th>
<th>BR C date</th>
<th>Inv No</th>
<th>S No of Invoice</th>
<th>Product Group</th>
<th>DEP B Sr No</th>
<th>Item Description</th>
<th>FOB Value in free foreign exchange (inclusive of commission)</th>
<th>Exchange Rate on date of let export</th>
<th>FOB Value (in Rs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td>(2)</td>
<td>(3)</td>
<td>(4)</td>
<td>(5)</td>
<td>(6)</td>
<td>(7)</td>
<td>(8)</td>
<td>(9)</td>
<td>(10)</td>
<td>(11)</td>
<td>(12)</td>
<td>(13)</td>
<td>(14)</td>
</tr>
</tbody>
</table>

**Computation of Capped Value**

<table>
<thead>
<tr>
<th>Unit of Measurement in the unit of value cap</th>
<th>Export Qty in U/M</th>
<th>Value Cap</th>
<th>FOB per U/M</th>
<th>Capped Value</th>
<th>(in %)</th>
<th>(in Rs)</th>
<th>(in %)</th>
<th>(in Rs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(21) = (16)</td>
<td>(22)</td>
<td>(23) = (21) *</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(15)</td>
<td>(16)</td>
<td>(17)</td>
<td>(18) = (14) / (16)</td>
<td>(19) = { \text{minimum of} \ (17) \ \text{and} \ (18) } \ \text{or} \ (18) \ \text{as the case may be}</td>
<td>(20)</td>
<td>* (19) * (20) / 100</td>
<td>{ 1 – [(22)/100] }</td>
<td></td>
</tr>
<tr>
<td>------</td>
<td>------</td>
<td>------</td>
<td>-------------------</td>
<td>-----------------------------------</td>
<td>------</td>
<td>-----------------</td>
<td>----------------</td>
<td></td>
</tr>
</tbody>
</table>

Note: In case there is no value cap on the product, the capped value would be equal to the FOB value per unit of measurement (U/M) as at Column (18) of the table above. Else it would be the minimum of Columns (17) and (18)
## SUB SECTION VI
(For EPCG Licence)

1. Sector Classification of Capital Goods sought to be imported under the Scheme (Please tick)

   i. Industrial sector
   ii. Agricultural sector
   iii. Port sector
   iv. Service sector
   v. Retail sector
   vi. Others

2. Products to be exported/Services to be rendered:

3. Whether any export obligation has been imposed in the Letter of Intent/Industrial Licence/Foreign Collaboration approval
   Yes/No

   If yes, please furnish details thereof including EO fulfilled, if any

4. In case of Service Provider, please furnish

   i. Serial No of Appendix 36 under which classified
   ii. Whether registered with Service tax authorities
      Yes/No

5. Whether imports made are also Project Imports as per Para 5.1B of Policy
   Yes/No

5A. Whether imports made are under Technological Upgradation Scheme
   Yes/No

   i. Details of previous EPCG Licence i.e EPCG Licence Number, Date
   ii. Details of CIF/duty saved under the Licence, EO fulfilled in FOB and %age terms

6. Details of exports/deemed supplies made in the preceding 3 licensing years for the same or similar product

<table>
<thead>
<tr>
<th>Licensing Year</th>
<th>Products exported/Supplied/Services rendered</th>
<th>FOB Value of exports/services rendered (in Rs Crore)</th>
<th>FOR Value of Deemed supplies (in Rs Crore)</th>
<th>Total Export performance (in Rs Crore)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
7. Details of EPCG Licences already obtained and which have not been redeemed

<table>
<thead>
<tr>
<th>S No</th>
<th>Licence No</th>
<th>Licence Date</th>
<th>CIF Value (Rs)</th>
<th>EO fixed in freely convertible currency</th>
<th>%age of EO fulfilled</th>
<th>Expiry Date of EO period</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

8. Details of Capital Goods applied for import

<table>
<thead>
<tr>
<th>S No</th>
<th>Description of the items of import</th>
<th>Nature of Capital Goods sought to be imported +</th>
<th>Primary Use of Capital Goods sought to be imported ++</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Technical Specifications/ Model No. etc</th>
<th>Quantity</th>
<th>CIF Value in freely convertible currency</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

+ - whether Capital Goods/ Spares/ Tools/Jigs/Fixtures/Dies/Moulds/ Refractories/Catalyst/ Consumables

++ - whether used for Pre Production activity/ Production activity/ Post Production activity/ For rendering Services

9. Details of Duty Saved

<table>
<thead>
<tr>
<th>Total effective Customs duty on items to be imported/deemed to be imported (in %) +++</th>
<th>Duty to be levied under the Scheme (in %)</th>
<th>Duty Saved (in %)</th>
<th>CIF Value of imports/deemed imports (in Rs)</th>
<th>Duty saved (in Rs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3 = 1 - 2</td>
<td>4</td>
<td>5 = 3 * 4 / 100</td>
</tr>
</tbody>
</table>

Note:
1. +++ In case of indigenous sourcing of CG, duty saved is to be calculated on notional Customs duties saved on FOR value of capital goods as per para 5.7 A of FTP.
2. In case of EPCG Licence for Projects as per para 5.1B of Policy, the basic customs duty would be 10%. Wherever, CVD is paid in cash and not subsequently Cenvated, CVD would not be taken for computation of net duty saved.
10 A. Export Obligation (as a multiple of duty saved) to be imposed (please tick)
(v )
- Eight times duty saved
- Six times duty saved (in case of imports made by SSI, agro units and technological upgradation scheme)

10 B. Export Obligation period to be imposed (please tick)
(v )
- Eight years from date of issue of Licence
- Twelve years from date of issue of Licence

10 C. Export Obligation to be imposed
- In Rupees
- In freely convertible currency

10 D. Average Export Obligation to be imposed
- In Rupees
- In freely convertible currency

11. Port of Registration (for the purpose of imports):

12 A. Address of the factory/premises where the capital goods to be imported are proposed to be installed

12 B. In case the proposed CG sought to be imported are to be used by the supporting manufacturer, please furnish
- Name of the supporting manufacturer
- Address of the supporting manufacturer
- SSI/LOI/IL regn no. and date
- Products endorsed on SSI/IL/IEM

12 C. Address of the jurisdictional Central Excise Authority under whom the proposed CG is to be installed
SUB SECTION VII
(For Served from India scheme)

1. i. Serial No of Appendix 36 under which classified
ii. Whether registered with Service tax authorities
   Yes/No

2. Category of Service Provider (please tick)
   (v)
   i. Hotels
   ii. Stand alone Restaurants
   iii. Healthcare
   iv. Education
   v. Individual
   vi. Others

3. Details of free foreign exchange earned

<table>
<thead>
<tr>
<th>Services rendered in free foreign exchange (in equivalent Indian Rs)</th>
<th>Duty Free Credit Entitlement (in Rs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2005-06 +</td>
<td>2004-05</td>
</tr>
<tr>
<td>(1)</td>
<td>(2)</td>
</tr>
<tr>
<td>(3) = 0.1 * (2)</td>
<td></td>
</tr>
<tr>
<td>However in case of Hotels (3) = 0.05* (2) and in case of Restaurants (3) = 0.2* (2)</td>
<td></td>
</tr>
</tbody>
</table>

- to be filled in cases where the applicant claims eligibility under the scheme on the current year basis

4. Port of Registration (for purpose of Imports):

5. Number of Split Certificates required (in multiples of Rs 5 lakhs each):
**SUB SECTION VIII**

*(For Vishesh Krishi Upaj Yojana)*

1. FOB Value of exports
   i. In Rupees
   ii. In freely convertible currency

2. Duty Free Credit Entitlement
   i. In Rupees (in words)
   ii. In Rupees (in figures)

3. Port of Registration (for the purpose of imports):

4. Whether application made for transferable duty credit:  Yes/No

5. Details of export made

<table>
<thead>
<tr>
<th>S No</th>
<th>SB no</th>
<th>SB date</th>
<th>Date of Let Export</th>
<th>BRC No</th>
<th>BRC date</th>
<th>Invoice No</th>
<th>S No of Invoice</th>
<th>Product Group</th>
<th>ITC(HS) code</th>
<th>Item Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td>(2)</td>
<td>(3)</td>
<td>(4)</td>
<td>(5)</td>
<td>(6)</td>
<td>(7)</td>
<td>(8)</td>
<td>(9)</td>
<td>(10)</td>
<td>(11)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>FOB Value in free foreign exchange (inclusive of commission)</th>
<th>Exchange Rate on date of let export (in %)</th>
<th>FOB Value (in Rs)</th>
<th>VKUY Entitlement rate</th>
<th>VKUY entitlement (without cut) (in %)</th>
<th>Cut applicable (if any)</th>
<th>Actual VKUY Entitlement (in Rs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(12)</td>
<td>(13)</td>
<td>(14)</td>
<td>(15)</td>
<td>(16)</td>
<td>(17)</td>
<td>(18)</td>
</tr>
<tr>
<td>(18) = (16) * 1 - [(17) / 100]</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

6. Number of Split Certificates required (in multiples of Rs 5 lakhs each):
SUB SECTION IX

( For Target Plus Scheme)

for 2005-2006

TO BE NOTIFIED SEPERATELY
SUB SECTION X

Part-A For Claiming Duty Drawback on All Industry Rates/Fixation of Drawback Rates/Refund of terminal Excise Duty

1. Details of products supplied
   i. Description
   ii. Technical Characteristics
   iii. Net Weight of the product supplied per unit
   iv. Serial No of All Industry Rate in Drawback Schedule
   v. All Industry Drawback Rate in Drawback Schedule
   vi. Central Excise Tariff Chapter / Heading under which classified
   vii. Rate of Central excise Duty payable on product supplied
   viii. Current FOR prices of product supplied
   ix. Total Production value of the product supplied in the preceding three years

2. Mode of Supplies (please tick) (v )
   i. Under Central Excise Bond
   ii. After payment of duty under claim of rebate of Central Excise Duty
   iii. Otherwise

3.
   i. Whether in respect of any of raw material/components the benefits under Rule 18 & 19 of Central Excise Rules, 2002 or any other Central Excise Rules is being availed of?
      Yes/No
   ii. If so the details thereof in respect of each input/raw material:

4.
   i. Whether in respect of any of raw material/components CENVAT benefits under Rule 3 of CENVAT credit Rule, 2004 of Central Excise Rules is being availed of?
      Yes/No
   ii. If so the details thereof in respect of each input/raw material:

5.
   i. Whether any declaration has been filed in terms of Rule 57(g) (1) of Central Excise Rules
for availing CENVAT benefits under Rule 3?
   Yes/No
 ii. If so, details of inputs for which such benefit claimed

6.
i. Whether any other benefit under any of the Customs and/or Central Excise Notification is being availed of in respect of the raw material, components and other inputs used in the product supplied? Yes/No
 ii. if so, the details thereof

7.
i. Whether in respect of the imported material benefits of Duty Exemption Scheme are being availed of? Yes/No
 ii. If so, the Licence details may be furnished:
 iii. File Number of Advance Licence wherein Drawback claim declaration has been filed:

8.
i. Whether in respect of the imported material, manufacture under Bond procedure in terms of Section 65 of Customs Act, 1962 is being followed? Yes/No
 ii. If so, the details thereof
Part B For Fixation of Duty Drawback rates

9. Please tick whichever is applicable

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>i. Under Rule 6 (1) (a) of Drawback</td>
<td>(✓)</td>
</tr>
<tr>
<td>ii. Under Rule 7 (1) of Drawback</td>
<td></td>
</tr>
</tbody>
</table>

10. Drawback rate or amounts expected (enclose working sheet in support thereof):

11. In case the application is for fixing of Brand Rate under Rule 7(1) of Drawback Rules, is the rate indicated in Serial No 1 (v) less than four-fifth of the rate indicated in serial 10 above.

12. In case the application is for fixing of Brand Rate under Rule 6 of Drawback Rules, is the rate worked out as at serial 10 above more than 1% of FOR value, if so the percentage of Drawback rate expected to FOR value.

13. Is the FOR value with regard to each item in the Supply Invoice less than the value of all imported materials used in the manufacturing of such goods?

14. If not, what is the percentage of value addition against each entry in the Supply Invoice.

15. Brief Process of Manufacture (enclose catalogue/literature etc. of the items of import)

Part C For Refund of Terminal Excise Duty

16.  

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>i. Central Excise Tariff Chapter/Heading in which classified</td>
<td></td>
</tr>
<tr>
<td>ii. Rate of Central Excise Duty payable on the product supplied</td>
<td></td>
</tr>
</tbody>
</table>

17. Central Excise Invoice and corresponding ER-1/ER-3 showing amount of Terminal Excise Duty paid or self certified Invoice showing the amount of Terminal Excise Duty paid
### PART 3

1. **IEC Number**

2. **Applicant Details**
   - i. Name
   - ii. Address

3. **Application for (please tick whichever is applicable):**
   - [ ] XI. Enhancement in CIF/FOB Value or Revalidation or EO extension of Licence
   - [ ] XII. Fixation/Modification of Standard Input Output Norms (SION)
   - [ ] XIII. Fixation of DEPB Rates/Fuel Rates
   - [ ] XIV. Redemption of Advance Licence
   - [ ] XV. Statement of Export/Redemption of EPCG Licence
   - [ ] XVI. EO Refixation under EPCG Scheme
   - [ ] XVII. Clubbing of Licences
   - [ ] XVIII. Statement of Imports made under Served from India Scheme/Target Plus Scheme
   - [ ] XIX. Refund of Application Fee

4. **Application Fee Details**
   - Amount (Rs)
   - Demand Draft/Bank Receipt/Electronic Fund Transfer No
   - Date of Issue
   - Name of the Bank on which drawn
   - Bank Branch on which drawn

**Note:**
1. Only one category of application may be made in the Form.
SUB SECTION XI
(For CIF/FOB enhancement or Revalidation or EO extension of Licence)

1. Licence details
   i. Licence Number
   ii. Licence Date
   iii. File Number from which Licence is issued
   iv. Original CIF Value (Rs)
   v. Original FOB Value (Rs)
   vi. Original Value Addition (%)
   vii. Initial Validity
   viii. Extended Validity, if any
   ix. Initial EO Period
   x. Extended EO period, if any

2. Details of import items and utilisation of Licence

<table>
<thead>
<tr>
<th>S No</th>
<th>Item Description</th>
<th>Original CIF Value (Rs)</th>
<th>Quantity endorsed in the Licence (in metric units)</th>
<th>Balance Quantity available in the Licence (in Rs / %)</th>
<th>Balance CIF Value Available in the Licence (in Rs / %)</th>
<th>Proposed CIF value (Rs) (in case of request for CIF enhancement)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

3. Details of Export Obligation fulfillment

<table>
<thead>
<tr>
<th>S No</th>
<th>Item Description</th>
<th>FOB Value (Rs)</th>
<th>Quantity to be exported (in metric units)</th>
<th>EO completed</th>
<th>Unfulfilled EO</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>In Value terms (Rs / %)</td>
<td>In Quantity terms (%)</td>
</tr>
<tr>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

4. Changes Required in the Licence
   a. In case of request for CIF/FOB enhancement
      i. Proposed CIF Value (Rs)
      ii. Proposed FOB Value (Rs)
      iii. Proposed Value Addition (%)
   b. In case of request for revalidation
      i. Revalidation applied upto
   c. In case of request for EO extension
      i. Extension in EO period applied upto

5. Reasons for seeking CIF/FOB enhancement/Revalidation/EO extension:
SUB SECTION XII

(For Fixation/Modification of Standard Input Output Norms - SION)

1. Export Product Details
   i. Description of Export Product
   ii. Export Product Group

2. Details of Items required for manufacture of One Unit of Export Product
   A. Imported Inputs

<table>
<thead>
<tr>
<th>S No</th>
<th>Required import item</th>
<th>Quantity required per unit of export product</th>
<th>Purpose of requirement *</th>
<th>Wastage Claimed (%age) on net content basis</th>
<th>Recoverable wastage / by product</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Name</td>
<td>Technical Characteristics</td>
<td>ITC(HS) Code</td>
<td></td>
<td>Name</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   B. Indigenous Inputs

<table>
<thead>
<tr>
<th>S No</th>
<th>Required indigenous item</th>
<th>Quantity required per unit of export product</th>
<th>Purpose of requirement *</th>
<th>Wastage Claimed (%age) on net content basis</th>
<th>Recoverable wastage / by product</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Name</td>
<td>Technical Characteristics</td>
<td>ITC(HS) Code</td>
<td></td>
<td>Name</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

( * - Purpose of requirement should be indicated against each item i.e. whether the item is required as a raw material/components/solvents/catalyst/packing material etc)

3. Production and Consumption data of the manufacturer/supporting manufacturer for preceding three licensing years
### Year Total Production of the export product Quantity of different items consumed Quantity consumed/unit production

<table>
<thead>
<tr>
<th>Year</th>
<th>Total Production of the export product</th>
<th>Quantity of different items consumed</th>
<th>Quantity consumed/unit production</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4. **Details of earlier advance licences obtained (if any) for the export product in the preceding two licensing years**

<table>
<thead>
<tr>
<th>S No</th>
<th>Licence Details</th>
<th>Quantity of different items consumed</th>
<th>Quantity consumed/unit production</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Licence No</td>
<td>Licence Date</td>
<td>Issuing authority</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
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<td></td>
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</tr>
</tbody>
</table>

5. **In case the application is made for modification in existing SION, please furnish**

i. Existing SION Number

ii. Nature of Modification required

iii. Details of Modification required (attach details)
SUB SECTION XIII
(For Fixation of DEPB Rates/ Fuel Rates)

1. Application for (please tick) 
   i. Fixation of DEPB rate
   ii. Fixation of Fuel rate

2. Export Product Details for which fixation of rate is sought
   i. Description of Export Product
   ii. Export Product Group
   iii. SION serial number in Handbook of Procedures Vol 2

3. Details of imports made during the last one year of inputs allowed under Standard Input Output Norms (SION)

<table>
<thead>
<tr>
<th>S No</th>
<th>Item of Import</th>
<th>Bill of Entry No</th>
<th>Bill of Entry date</th>
<th>Quantity imported</th>
<th>CIF Value (Rs)</th>
<th>CIF Value per unit (Rs)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
</tbody>
</table>

4. Details of exports made during the last one year of export product for which fixation of rate is sought

<table>
<thead>
<tr>
<th>S No</th>
<th>Item of Export</th>
<th>Shipping Bill No</th>
<th>Shipping Bill date</th>
<th>Quantity exported (by weight)</th>
<th>FOB Value (Rs)</th>
<th>FOB Value per kg (Rs)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

5. Details of international journals/magazines evidencing international price of inputs in support of data furnished above

6. In case of fixation of fuel rate is sought, please furnish
   i. Type of Fuel stipulated in permission issued by Competent Authority for installation of Captive Power Plant
   ii. Units (KWH) of Electricity consumed for producing One kg of export product
   iii. Cost of Fuel per kg of export product

7. Worksheet for Computation of DEPB Rates
<table>
<thead>
<tr>
<th>S No</th>
<th>Description of inputs allowed</th>
<th>Quantity allowed</th>
<th>CIF Value per unit quantity of imports allowed (Rs/ US $)</th>
<th>CIF value of inputs allowed (Rs/ US $)</th>
<th>Rate of Customs Duty (%)</th>
<th>Customs Duty suffered (Rs/ US $)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td>1</td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

FOB Value per unit quantity of export product (Rs/ US $):

<table>
<thead>
<tr>
<th></th>
<th>Total CIF value:</th>
<th>Total Duty:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**SUB SECTION XIV**

*For Redemption of Advance Licence*

1. **Advance Licence Details**

<table>
<thead>
<tr>
<th>i a. Advance Licence Number</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>I b. Date of Issue</td>
<td></td>
</tr>
<tr>
<td>ii. CIF Value</td>
<td></td>
</tr>
<tr>
<td>a. In Indian Rupees</td>
<td></td>
</tr>
<tr>
<td>b. In free foreign exchange</td>
<td></td>
</tr>
<tr>
<td>iii. Export Obligation imposed</td>
<td></td>
</tr>
<tr>
<td>a. In Indian Rupees</td>
<td></td>
</tr>
<tr>
<td>b. In free foreign exchange</td>
<td></td>
</tr>
<tr>
<td>Iv a. Date of expiry of initial export obligation period</td>
<td></td>
</tr>
<tr>
<td>iv b. Date of expiry of extended export obligation period</td>
<td></td>
</tr>
<tr>
<td>v. Product to be exported</td>
<td></td>
</tr>
<tr>
<td>Vi a. Serial Number of SION (in case of fixed norms)</td>
<td></td>
</tr>
<tr>
<td>Vi b. Reference number and date vide which norms have been ratified (in case of para 4.7 cases)</td>
<td></td>
</tr>
</tbody>
</table>

2. **Details of physical exports/deemed exports made**

<table>
<thead>
<tr>
<th>S No</th>
<th>Products Exported/ Supplied</th>
<th>Shipping Bill/ Invoice/ AR 3 Details</th>
<th>Quantity Exported / Supplied</th>
<th>FOB Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>Date Direct exports Third Party exports Deemed Exports Total</td>
<td>In case of physical exports (as per S/B)</td>
<td>In case of deemed exports (as per invoices)</td>
<td>Actually realized (as per BRC)</td>
</tr>
<tr>
<td></td>
<td>No</td>
<td></td>
<td></td>
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<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

3. **Details of imports made against the Licence**

<table>
<thead>
<tr>
<th>S No</th>
<th>Item of Import</th>
<th>Qty allowed</th>
<th>CIF Value allowed in</th>
<th>Bill of Entry Details</th>
<th>Quantity imported</th>
<th>CIF Value</th>
</tr>
</thead>
</table>
No Import allowed in the Licence allowed in the Licence ( in FFE) No Date imported (in FFE)

4. Details of excess imports made proportionate to the export obligation fulfilled

<table>
<thead>
<tr>
<th>S No</th>
<th>Item of Import</th>
<th>Bill of Entry Details</th>
<th>Quantity</th>
<th>Duty Details</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>No Date</td>
<td></td>
<td>Customs Duty</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Interest</td>
<td>Interest</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Total</td>
<td>Total</td>
</tr>
</tbody>
</table>
### SUB SECTION XV

(For Statement of Export/Redemption of EPCG Licence)

1. EPCG Licence Details

<table>
<thead>
<tr>
<th>i a. EPCG Licence Number</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>i b. Date of Issue</td>
<td></td>
</tr>
<tr>
<td>ii. CIF Value/Duty saved value endorsed on the Licence</td>
<td></td>
</tr>
<tr>
<td>a. In Indian Rupees</td>
<td></td>
</tr>
<tr>
<td>b. In free foreign exchange</td>
<td></td>
</tr>
<tr>
<td>iii. Export Obligation imposed on the Licence</td>
<td></td>
</tr>
<tr>
<td>a. In Indian Rupees</td>
<td></td>
</tr>
<tr>
<td>b. In free foreign exchange</td>
<td></td>
</tr>
<tr>
<td>iv. Average Exports to be maintained</td>
<td></td>
</tr>
<tr>
<td>a. In Indian Rupees</td>
<td></td>
</tr>
<tr>
<td>v. CIF Value/ Duty saved value utilized</td>
<td></td>
</tr>
<tr>
<td>a. In Indian Rupees</td>
<td></td>
</tr>
<tr>
<td>b. In free foreign exchange</td>
<td></td>
</tr>
<tr>
<td>vi. Export Obligation wrt imports made under Licence</td>
<td></td>
</tr>
<tr>
<td>a. In Indian Rupees</td>
<td></td>
</tr>
<tr>
<td>b. In free foreign exchange</td>
<td></td>
</tr>
<tr>
<td>vii a Date of expiry of initial export obligation period</td>
<td></td>
</tr>
<tr>
<td>vii b. Date of expiry of extended export obligation period</td>
<td></td>
</tr>
<tr>
<td>vii c. Date of completion of export obligation</td>
<td></td>
</tr>
<tr>
<td>viii. Product to be exported/Services rendered</td>
<td></td>
</tr>
</tbody>
</table>

2. Details of physical exports/deemed exports made/services rendered

<table>
<thead>
<tr>
<th>S No</th>
<th>Products Exported/ Supplied/ Services rendered</th>
<th>Shipping Bill/ Voucher/Invoice/ AR 3/ Lorry Receipt/ Railway Receipt Details</th>
<th>FOB / FOR value (in FFE/) ++</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>S No</th>
<th>Products Exported/ Supplied/ Services rendered</th>
<th>Shipping Bill/ Voucher/Invoice/ AR 3/ Lorry Receipt/ Railway Receipt Details</th>
<th>FOB / FOR value (in FFE/) ++</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

+ not to be filled in by hotel industry
++ Only Exports/ Supplies made/ capable of being made/ services rendered out of capital goods imported under the Licence for discharge of export obligation imposed on the Licence and export proceeds realized to be included

3. Details of physical exports/deemed exports made/ services rendered for maintaining average exports under the EPCG Licence

<table>
<thead>
<tr>
<th>Year</th>
<th>Products Exported/ Supplied</th>
<th>Shipping Bill/ Voucher/Invoice/ AR 3/ Lorry Receipt/ Railway Receipt Details *</th>
<th>FOB / FOR value (in FFE) **</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* not to be filled in by hotel industry

** Exports/Supplies made/services rendered other than those mentioned in serial number 2 above have to be included for counting average exports
SUB SECTION XVI
(For EO Refixation under EPCG Scheme)

Note: 1. Please see paragraph 5.4 (i) of the Policy and paragraph 5.19 of the Handbook (Vo.I)

File No: Date:

1. Name and address of the applicant: M/S
2. IEC Number:
3. Block year of Export Obligation fulfilment:
   - 1st year [ ]
   - 2nd year [ ]
   - 3rd year [ ]
   - 4th year [ ]
   - 5th year [ ]
   - 6th year [ ]
   - 7th year [ ]
   - 8th year [ ]
   - 9th year [ ]
   - 10th year [ ]
   - 11th year [ ]
   - 12th year [ ]
4. Exports mandated under the block prescribed in row above:
   - As a percentage of total exports to be made
5. Export actually made:
   - As a percentage of the total exports to be made

6. Details of EPCG licence presented for refixation of export obligation:

<table>
<thead>
<tr>
<th>S. No</th>
<th>EPCG Licence No</th>
<th>Date of Issue</th>
<th>Export Product(s)</th>
<th>Capital Goods applied for import</th>
<th>Export obligation fixed as per imports made (in US $)</th>
<th>Exports actually made (In US $)</th>
<th>% of exports unfulfilled</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td>(2)</td>
<td>(3)</td>
<td>(4)</td>
<td>(5)</td>
<td>(6)</td>
<td>(7)</td>
<td>(8) = 100*((6)-(7))/(6)</td>
</tr>
</tbody>
</table>

CIF Value of imports (in US $) | Total Customs Duty Saved (10) | Refixed Export Obligation (11)
| (9) | Basic | Additional/CVD | Others (SAD, etc if) | Rate of Duty | Total Duty saved | (11) |

Refixed Export Obligation
<table>
<thead>
<tr>
<th>(A)</th>
<th>(B)</th>
<th>applicable)</th>
<th>levied on import</th>
<th>(A+B+C-D)</th>
</tr>
</thead>
</table>

=A* (10)*(8)/100 for cases where refixation application is made within 2 years from the date of issue of EPCG Licence

=2*(10)*(8)/100 for cases where the remaining EO is less than 2 years on the date of application for refixation

Signature of the Applicant:

Name:

Designation:

Official Address:

Residential Address:

E-mail address:

Place:

Date:

Documents to be enclosed with the application form:

1. Original copy of EPCG licence
### SUB SECTION XVII

(For Clubbing of Licences)

#### PART A: ADVANCE LICENCES

1. Details of Advance Licences to be clubbed

<table>
<thead>
<tr>
<th>S No</th>
<th>Advance Licence No</th>
<th>Advance Licence date</th>
<th>Customs Notification no</th>
<th>Export product</th>
<th>Port of Registration</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>6</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>CIF value permitted (in Rs)</th>
<th>CIF value used (in FFE)</th>
<th>EO imposed (FFE)</th>
<th>Exports made (FFE)</th>
<th>Expiry date of initial EO period</th>
<th>Expiry date of extended EO period</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>8</td>
<td>9</td>
<td>10</td>
<td>11</td>
<td>12</td>
</tr>
</tbody>
</table>

2. Details of physical exports/deemed exports made against each individual Advance Licence

<table>
<thead>
<tr>
<th>S No</th>
<th>Products Exported/ Supplied</th>
<th>Shipping Bill/ Invoice/ AR 3 Details</th>
<th>Quantity Exported / Supplied</th>
<th>FOB Value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>No</th>
<th>Date</th>
<th>Direct exports</th>
<th>Third Party exports</th>
<th>Deemed Exports</th>
<th>Total</th>
<th>In case of physical exports (as per S/B)</th>
<th>In case of deemed exports (as per invoices)</th>
<th>Actually realized (as per BRC)</th>
</tr>
</thead>
<tbody>
<tr>
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<td></td>
</tr>
</tbody>
</table>

3. Details of imports allowed and made against each individual Advance Licence
<table>
<thead>
<tr>
<th>S No</th>
<th>Item of Import</th>
<th>Qty allowed in the Licence</th>
<th>CIF Value allowed in the Licence (in FFE)</th>
<th>Bill of Entry Details</th>
<th>Quantity imported</th>
<th>CIF Value used (in FFE)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

4. Consolidated Details of physical exports/deemed exports made against all Advance licences

<table>
<thead>
<tr>
<th>S No</th>
<th>Products Exported/ Supplied</th>
<th>Shipping Bill/ Invoice/ AR 3 Details</th>
<th>Quantity Exported / Supplied</th>
<th>FOB Value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

5. Consolidated Details of imports allowed and made against all Advance Licences

<table>
<thead>
<tr>
<th>S No</th>
<th>Item of Import</th>
<th>Qty allowed in the Licence</th>
<th>CIF Value allowed in the Licence (in FFE)</th>
<th>Bill of Entry Details</th>
<th>Quantity imported</th>
<th>CIF Value (in FFE)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

6. Details of excess imports made proportionate to the export obligation fulfilled

<table>
<thead>
<tr>
<th>S No</th>
<th>Item of Import</th>
<th>Bill of Entry Details</th>
<th>Quantity</th>
<th>Duty Details</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>No</td>
<td>Date</td>
<td>Customs Duty</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Interest</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Total</td>
</tr>
</tbody>
</table>
## PART B: EPCG LICENCES

### 1. Details of EPCG Licences to be clubbed

<table>
<thead>
<tr>
<th>S No</th>
<th>EPCG Licence No</th>
<th>EPCG Licence date</th>
<th>Customs Notification no</th>
<th>Export product/Services to be rendered</th>
<th>Port of Registration</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>6</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>CIF value/duty saved permitted (Rs)</th>
<th>CIF value/duty saved utilized (Rs)</th>
<th>Customs duty saved (Rs)</th>
<th>EO endorsed on the Licence</th>
<th>EO wrt imports made (US $)</th>
<th>Exports made/Services rendered (US $)</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>8</td>
<td>9</td>
<td>10</td>
<td>11</td>
<td>12</td>
</tr>
</tbody>
</table>

### 2. Details of single clubbed EPCG Licence

1. Total Duty Saved or CIF Value on the imports/deemed imports
   a. In Indian Rupees
   b. In free foreign exchange
2. Export Obligation imposed on the Licence
   a. In Indian Rupees
   b. In free foreign exchange
3. Average Export Obligation imposed (Rs)
4. Export Product/Service to be rendered
**SUB SECTION XVIII**

*(For Statement of Imports made under Served from India / Target Plus Scheme)*

1. Application for (please tick)
   - [x] ( )
   - i. Served from India Scheme
   - ii. Target Plus Scheme

2. Licence Details
   - i. Licence Number
   - ii. Licence Date
   - iii. Issuing Authority
   - iv. Duty Credit Issued (Rs)
   - v. Duty Credit Utilised (Rs)

3. Details of imports made

<table>
<thead>
<tr>
<th>S No</th>
<th>Item of Import</th>
<th>Bill of Entry No</th>
<th>Bill of Entry date</th>
<th>Custom House</th>
<th>Qty imported</th>
<th>CIF Value (Rs)</th>
<th>Duty debited (Rs)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
</tbody>
</table>

SUB SECTION XIX
(For Refund of Application Fee)

1. Licensing Authority with whom the application has been filed/was proposed to be filed:

2. Category under which refund is being claimed (please tick)
   (  v  )
   i. Deposited in excess of the specified scale
   ii. Deposited in error but applicant is exempt from fee payment
   iii. Deposited but no application has been made

3. In case refund is claimed for Category 2 (i) above, please furnish
   i. File Number
   ii. CIF Value of Licence applied for (Rs)
   iii. Fee payable at the prescribed scale (Rs)
   iv. Excess fee paid for which refund is claimed (Rs)

4. In case refund is claimed for Category 2 (ii) above, please furnish
   i. File Number
   ii. Amount of fee paid (Rs)
   iii. Category of Clause 5(3) of Foreign trade (Regulation) Rules, 1992 under which exemption is being claimed

5. In case refund is claimed for Category 2 (iii) above,
   please state the reasons for not making an application after depositing the fee:

6. In case refund is being claimed after one year of deposit of the fee,
   please state the reasons for not making the refund application earlier:
PART 4

DECLARATION/UNDERTAKING

1. I/We hereby declare that the particulars and the statements made in this application are true and correct to the best of my/our knowledge and belief and nothing has been concealed or held there from.

2. I/We fully understand that any information furnished in the application if found incorrect or false will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.

3. I/We undertake to abide by the provisions of the Foreign Trade (Development and Regulation) Act, 1992, the Rules and Orders framed there under, the Foreign Trade Policy, the Handbook of Procedures and the ITC(HS) Classification of Export & Import Items.

4.
   a. I/We hereby certify that the firm/company for whom the application has been made has not been penalized under the Customs Act, Excise Act, Foreign Trade (Development & Regulation) Act 1992 and FERA/FEMA.
   b. I/We hereby certify that none of the Proprietor/Partner(s)/Director(s)/Karta/Trustee of the firm/company, as the case may be, is/are a Proprietor/Partner(s)/Director(s)/Karta/Trustee in any other firm/Company which has come to the adverse notice of DGFT.
   c. I/We hereby certify that the Proprietor/Partner(s)/Director(s)/Karta/Trustee, as the case may be, of the firm/company is/are not associated as Proprietor/Partner(s)/Director(s)/Karta/Trustee in any other firm/company which is in the caution list of RBI.
   d. I/We hereby certify that neither the Registered Office/Head Office of the firm/company nor any of its Branch Office(s)/Unit(s)/Division(s) has been declared a defaulter and has otherwise been made ineligible for undertaking import/export under any of the provisions of the Policy.

5. I/We hereby declare that I/We have not obtained nor applied for such benefits (including issuance of an Importer Exporter Code Number) in the name of our Registered/Head Office or any of our Branch(s)/Unit(s)/Division(s) to any other Licensing Authority.

Note: Please tick the clause(s) given below which are applicable to you

6. I/We solemnly declare that I/We have applied for / obtained a RCMC to the Export Promotion Council which pertains to our main line of business. In case we have applied to any other council, the application has been made within the purview of the provisions of Para 3.12 and Para 3.12.1 of the Handbook of Procedures (Vol 1).
7. **(applicable in case of IEC applications only)**
   a. i) There is no non-resident interest in the firm/company and no non resident investment with or without repatriation benefits has been made in the firm/company; or
   ii) There is non-resident interest in the firm/company and non resident investment without repatriation benefits in the firm/company is held with the general/specific permission of RBI; or
   iii) There is non-resident interest in the firm/company and non-resident investment with repatriation benefits in the firm/company is held with the specific permission of RBI.

8. **(applicable in case of Issue of Import Certificate under Indo–US Memorandum)**

   I _________________ (Name of the Organization), a Government entity/Government controlled entity/ private sector entity (Delete whichever is not applicable) hereby undertake
   
   i) To import the item into India and not to redirect it or any part of it, to another destination before its arrival in India;
   
   ii) To provide, if asked, verification that possession of item was taken;
   
   iii) Not to re-export the item without any written approval of Certificate Issuing Authority;
   
   iv) Not to retransfer within India the item(s) specified in this certificate without the written approval of the Certificate Issuing Authority;
   
   v) To obtain permission in writing from the Certificate Issuing Authority prior to any change in end-user which shall be preceded by the new end-user notifying the Certificate Issuing Authority that he/she agrees to the conditions contained in this document;
   
   vi) The items being imported will/will not be integrated into Indian end-products to be exported.

9. **(applicable in case of SCOMET applications only)**
   a. I/We further undertake to submit to the o/o DGFT (PC-III Section), Udyog Bhavan, New Delhi a copy of the bill of entry into the destination state party within 30 days of delivery, failing which I/We shall be liable to action under Foreign Trade (Development & Regulation) Act, 1992 or rules and orders made there under, and the Customs Act, 1962.
   
   b. I/We also hereby inform that we have complied with the conditions of all previous licences issued to us for export of SCOMET items and have duly intimated the o/o DGFT, New Delhi along with documentary evidence in the stipulated time regarding receipt of the items of export by only the end-user as per the respective applications.

10. **(applicable in case of Grant of Star Export House certificate only)**
a. I/We hereby declare that this application is based on the FOB/FOR export performance of the preceding three licensing years and/or the present licensing year as per the Chartered Accountant certificate submitted in this behalf along with this application.

b. I/We hereby declare that the FOB/FOR value of exports shown in Part 2 of the application are my/our direct exports/supplies and also the direct exports/supplies of our subsidiary company and are true and correct and in accordance with the accounts maintained by me/us.

c. I/We hereby declare that exports made on re-export basis have not been included in the export performance on the basis of which Star Export House recognition is being claimed.

d. I/We hereby declare that the benefit of double weightage of exports for grant of Star Export House recognition is as per the provisions of the Policy.

e. I/We hereby declare that no export proceeds are outstanding beyond the prescribed period as laid down by RBI or such extended period for which RBI permission has been obtained.

f. I/We hereby undertake to maintain true and proper accounts of our exports and imports based on which the Star Export House recognition is being claimed and the exports and imports made during the validity period of such recognition certificate, for a minimum period of three years from the expiry of the validity of such certificate.

11. (applicable in case of Advance Licence applications only)

a. I/We hereby declare that in respect of products for which Standard Input Output norms (SION) are not fixed, I/We shall abide by the final fixation of norms by the Advance Licensing Committee (ALC) and shall pay in cash to the Government Customs duty together with 15% interest reckoned from the date of imports till the date of deposit on the inputs imported in excess of the norms fixed by ALC.

b. I/We hereby declare that we shall only import inputs which are required for the manufacture of the export product(s) and no part of it shall be sold/transferred/disposed off or utilised in a manner in contravention to the provisions of the Policy and Handbook.

c. I/We hereby declare that no export proceeds are outstanding beyond the prescribed period as laid down by RBI or such extended period for which RBI permission has been obtained.

12. (applicable in case of GEM REP applications only)

I/We declare that outstanding realisation of export proceeds beyond 180 days does not exceed 10% of average exports of preceding three licensing years. I/We further declare that no export proceeds are outstanding beyond the period of one year or such extended period for which RBI permission has been obtained.

13. (applicable in case of EPCG applications only)
a. In the event of failure to fulfill the export obligation as stipulated within the export obligation period prescribed or subsequently extended by the competent authority, I/We shall be liable to penal action in accordance with the Policy/Procedure/Foreign Trade (Development & Regulation) Act, 1992 the Rules and Orders framed there under and the Customs Act, 1962 in addition to forfeiture of Bank Guarantee or any other Bond/Guarantee executed with the Customs/Licensing Authority.

b. I/We declare that no export proceeds are outstanding beyond the prescribed period as laid down by the RBI, or such extended period for which RBI permission has been obtained.

c. I/We hereby declare that the capital goods/spares sought for import in the application are actually required for use at pre production/production/post production stages for the export product(s) or for rendering services for fulfilment of export obligation.

d. I/We hereby declare that in respect of goods for which nexus is not established at a later date, I/We shall pay to the Government Customs duty saved together with 15% interest on such imported goods which are found having no relation with product exported or service rendered.

e. I/We hereby declare that in case of import of refurbished/reconditioned spares, the refurbished/reconditioned spares have a residual life which is not less than 80% of the life of the original spares.

f. I/We hereby declare that I/We do not come under the purview of Service Tax and therefore are not registered with the Service Tax Cell of the jurisdictional Central Excise Authorities. (in case of Service Providers who are not covered under service tax).

g. I/We hereby declare that I/We shall not exceed the SSI investment limit in plant and machinery after making imports under the EPCG Licence (applicable in cases of imports made by SSI sector)

h. I/We hereby declare that the import of capital goods shall be used for building and operating shopping malls/super markets having a minimum covered area of 1000 sq metres. (applicable in case of imports made by Retail sector).

i. I/We hereby declare that I/We have not made imports under the Technological Upgradation Scheme previously before any Licensing authority and the present imports of Capital Goods to be made under this Scheme (for which this application is made) are more than 10% of the my/our present investment in the plant and machinery. (applicable in case of imports under EPCG Technological Upgradation Scheme)

14. (applicable in case of Served from India Scheme only)

a. I/We hereby certify that only such foreign exchange remittances as are earned on account of the services rendered and received in freely convertible currency have been taken into consideration while arriving at the computation of the duty credit entitlement under the Scheme and other sources of foreign exchange earnings such as equity or debt
participation, donations, repayment of loans and any other inflow of foreign exchange unrelated to the service rendered has not been considered while arriving at the computation of the duty credit entitlement under the Scheme.

b. I/We hereby declare that no export proceeds are outstanding beyond the prescribed period as laid down by RBI or such extended period for which RBI permission has been obtained.

c. I/We hereby undertake that in case of non realisation of export proceeds or lesser realisation of export proceeds in free foreign exchange, I/We shall pay in cash, an amount equivalent to the duty free credit entitlement already obtained on the non-realised basis or lesser realised value of export proceeds together with 15% interest reckoned from the date of imports till the date of deposit.

d. I/We (applicable in case of hotels and stand alone restaurants including 1 star and above hotels and heritage hotels) hereby undertake to pass on the benefit of the duty credit entitlement to the consumers and undertake to submit a statement of utilization of duty credit entitlement in the prescribed format to the licensing authority in this behalf.

e. I/We hereby undertake to submit to the Licensing Authority (with a copy to the jurisdictional Excise Authorities), a statement of imports made under the Scheme within one month of the completion of imports or the expiry of the validity of the duty credit entitlement certificate, whichever is earlier.

f. I/We hereby undertake that the imports to be made under this duty free credit entitlement certificate shall be related to my/our main line of business and I/We further undertake that such imported goods shall not be sold/transferred/disposed off or utilised in a manner in contravention to the provisions of the Policy and Handbook.

15. (applicable in case of Vishesh Krishi Upaj Yojana only)

a. I/We hereby declare that no export proceeds are outstanding beyond the prescribed period as laid down by RBI or such extended period for which RBI permission has been obtained.

b. I/We hereby undertake that in case of non realisation of export proceeds or lesser realisation of export proceeds in free foreign exchange, I/We shall pay in cash, an amount equivalent to the duty free credit entitlement already obtained on the non-realised basis or lesser realised value of export proceeds together with 15% interest reckoned from the date of imports till the date of deposit.

16. (applicable in case of EO Refixation under EPCG Scheme only)

a. I/We hereby declare that the particulars and the statements made in this application for refixation of export obligation are true and correct to the best of my/our knowledge and belief and nothing has been concealed or held therefrom.

b. I/We full understand that any information furnished in the application if proved incorrect or false will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.
c. I/We further declare that the refixation of export obligation is being sought for the EPCG licence where atleast 50% of the exports indicated in row 6 above have been realised.

d. I/We undertake to abide by the provisions of the Foreign Trade (Development and Regulation) Act, 1992, the Rules and Orders framed thereunder, the Export and Import Policy and the Handbook of Procedures.

e. That I/We shall be liable to penal action in accordance with the Policy/Procedure/Foreign Trade (Development & Regulation) Act, 1992 the Rules and Orders framed thereunder and the Customs Act, 1962 addition to forfeiture of Bank Guarantee or any other Bond/Guarantee given to the Customs/licensing authority, in the event of failure to fulfill the export obligation as stipulated, within the export obligation period prescribed or subsequently extended by the competent authority.

17. (applicable in case of For Duty Drawback on All Industry Rates/Fixation of Drawback Rates/Refund of Terminal Excise Duty only)

a. I/we further declare that I/We shall immediately refund the amount of drawback obtained by us in excess of any amount/rate which may be re-determined by Government as a result of post verification.

b. I/we further declare that no export proceeds are outstanding beyond the prescribed period as laid down by the RBI, or such extended period for which RBI permission has been obtained.

c. I/we further declare that the goods supplied are not excisable/Goods are excisable but CENVAT/rebate facility is not available to the recipient of the goods.

18. I hereby certify that I am authorised to verify and sign this declaration as per paragraph 9.9 of the Policy.

Signature of the Applicant
Name
Designation
Official Address
Telephone
Residential Address
Email Address